

DOCKET NO. HUTTEMANN 9-2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert Huttemann, et al.

Serial No.: N/A

Filed: Herewith

For: THIN FILM RESISTOR DEVICE AND A METHOD  
OF MANUFACTURE THEREFOR

Group No.: N/A

Examiner: N/A

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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<b>1-22-04</b> Date of Mailing	<b>Elizabeth Schumacher</b> Typed or printed name of person mailing
	<b>Elizabeth Schumacher</b> Signature of person mailing

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
6,365,482	Maghsoudnia	04-02-02
6,326,256	Barley et al.	12-04-01
6,242,792	Miura et al.	06-05-01
6,225,183	Lee	05-01-01
6,194,775	Usami Tatsuya	02-27-01
6,165,862	Ishikawa et al.	12-26-00
5,989,970	Ohkawa et al.	11-23-99
5,959,343	Harada et al.	09-28-99
5,304,802	Kumagai	04-19-94
3,849,757	Khammous et al.	11-19-74
3,400,066	Caswell et al.	11-05-65
2002/0102806	Bailey et al.	05-01-02

<u>Foreign Patent No.</u>	<u>Country</u>	<u>Date</u>
02-205065	Japan	08-14-90
57-23256	Japan	02-06-82
62-35565	Japan	02-16-87
56-80152	Japan	07-01-81
64-2345	Japan	01-06-89

Reference:

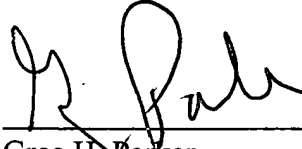
Parent Application Serial No. 09/614,992 filed on July 12, 2000 entitled "THIN FILM RESISTOR DEVICE AND A METHOD OF MANUFACTURE THEREFOR" to Robert Huttemann allowed on October 29, 2003.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed

herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

HITT GAINES, P.C.

A handwritten signature in black ink, appearing to read "Greg H. Parker", written over a horizontal line.

Greg H. Parker  
Registration No. 44,995

Date: 1-22-04

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Robert Huttemann
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	HUTTEMANN 9-2

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 6,365,482	04-02-02	Maghsoudnia	
	2	US- 6,326,256	12-04-01	Barley et al.	
	3	US- 6,242,792	06-05-01	Miura et al.	
	4	US- 6,225,183	05-01-01	Lee	
	5	US- 6,194,775	02-27-01	Usami Tatsuya	
	6	US- 6,165,862	12-26-00	Ishikawa et al.	
	7	US- 5,989,970	11-23-99	Ohkawa et al.	
	8	US- 5,959,343	09-28-99	Harada et al.	
	9	US- 5,304,802	04-19-94	Kumagai	
	10	US- 3,849,757	11-19-74	Khammous et al.	
	11	US- 3,400,066	11-05-65	Caswell et al.	
	12	US- 2002/0102806	05-01-02	Bailey et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	13	JP 02-205065	08-14-90	Nakamura		
	14	JP 57-23256	02-06-82	Kazami		
	15	JP 62-35565	02-16-87	Mano		
	16	JP 56-80152	07-01-81	Nakashima		
	17	JP 64-2345	01-06-89	Tatematsu		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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